

Substitute for Form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete If Known

Application Number	To Be Assigned
Filing Date	Herewith
First Named Inventor	Kazuhisa Yamamoto, et al
Art Unit	To Be Assigned
Examiner Name	To Be Assigned
Attorney Docket No.	YAO-3750US3

SHEET 1 of 3

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
PM		US-5,452,312	09-19-1995	Yamamoto, et al.	
PM		US-5,295,218	03-1994	Agostinelli	
PM		US-5,113,524	05-1992	Hirota	
PM		US-4,907,238	03-1990	Chun	
PM		US-4,667,331	05-1987	Alferness	
PM		US-5,614,961	03-1997	Gibeau	
PM		US-4,665,529	05-12-1987	Baer, et al.	
PM		US-5,036,220	07-30-1991	Byer, et al.	
PM		US-5,303,247	04-12-1994	Yamamoto, et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
PM		JP 6-18823 U	03-11-1994			<input type="checkbox"/>
PM		JP 08-194196	07-30-1996	Fujitsu, Ltd.		<input type="checkbox"/>
PM		JP 2-199975	08-08-1990	Sony Corp.		<input type="checkbox"/>
PM		JP 2-221995	09-04-1990	Sony Corp.		<input type="checkbox"/>
PM		JP 3-191332	08-21-91	Matsushita Elec. Ind. Co., Ltd.		<input type="checkbox"/>
PM		JP 3-38984	02-20-91	Pioneer Electronic Corp.		<input type="checkbox"/>
PM		JP 4-100088	04-02-92	Sony Corp.		<input type="checkbox"/>
PM		JP 4-18823	02-17-92	Asahi Glass Co., Ltd.		<input type="checkbox"/>
PM		JP 4-296731	10-21-92	Matsushita Elec. Ind. Co., Ltd.		<input type="checkbox"/>
PM		JP 4-315120	11-6-92	Matsushita Elec. Ind. Co., Ltd.		<input type="checkbox"/>
PM		JP 4-45478	2-14-92	Ibiden Co., Ltd.		<input type="checkbox"/>
PM		JP 4-507299	12-17-92	Board of Trustees of Leland Stanford Jr. Univ.		<input type="checkbox"/>
PM		JP 5-107421	4-30-93	Fujitsu, Ltd.		<input type="checkbox"/>
PM		JP 5-173094	7-13-93	Matsushita Elec. Ind. Co., Ltd.		<input type="checkbox"/>
		(**continued on page 2**)				<input type="checkbox"/>

Examiner
Signature*[Signature]*Date
Considered

9/2/05

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¹Applicant's unique citation designation number (optional).

²See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

³Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

⁶Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

Substitute for Form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Filing Date	Herewith
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First Named Inventor	Kazuhisa Yamamoto, et al.
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Art Unit	To Be Assigned
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Examiner Name	To Be Assigned
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SHEET 2 of 3

Attorney Docket No. YAO-3750US3

U.S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (If known)				
MM		JP 5-232536	9-1993			<input type="checkbox"/>
RR		JP 61-22518	5-31-86	Sony Corp.		<input type="checkbox"/>
MM		JP 6-148444	5-27-94	Fujitsu Ltd.		<input type="checkbox"/>
DM		JP 62-274788	11-28-87	Spectra -Physics, Inc.		<input type="checkbox"/>
PR		JP 6-265956	9-22-94	Fuji Photo Film Co., Ltd.		<input type="checkbox"/>
DM		JP 6-273814	9-30-94	Matsushita Elec. Ind. Co., Ltd.		<input type="checkbox"/>
TM		JP 6-350168	12-22-94	Hitachi Metals, Ltd.		<input type="checkbox"/>
MM		JP 7-15077	1-1995			<input type="checkbox"/>
PR		JP 7-23329	4-25-95	Mitsubishi Electric Corp.		<input type="checkbox"/>
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Examiner Signature	JMM			Date Considered	2/2/05	

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²See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

⁴For Japanese patent documents, the indication of the year of the reign of the Emperor

⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST 16 if possible.

^dApplicant is to place a check mark here if English language translation is attached.

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Substitute for Form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>	Complete If Known	
	Application Number	To Be Assigned
	Filing Date	Herewith
	First Named Inventor	Kazuhisa Yamamoto, et al.
	Art Unit	To Be Assigned
	Examiner Name	To Be Assigned
SHEET 3 of 3		Attorney Docket No. YAO-3750US3

NON-PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
PM		MIZUUCHI, K., et al., "Second-Harmonic Generation of Blue Light in a LiTaO ₃ Waveguide", <u>Applied Physics Letters</u> , Vol. 58, No. 24, pp. 2732-2734 (1991).	<input type="checkbox"/>
PM		International Search Report corresponding to PCT/JP96/01472 dated 10/8/96.	<input type="checkbox"/>
		Chinese Office Action dated July 10, 2003.	<input type="checkbox"/>
PM		AHLFELDT, H., et al., "Postfabrication Changes and Dependence on Hydrogen Concentration of the Refractive Index of Proton-Exchanged Lithium Tantalate Waveguides", pp. 717-727 (January 15, 1994).	<input type="checkbox"/>
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Examiner Signature	<i>[Signature]</i>	Date Considered	1/2/05
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